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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re Application of: John C. Pederson
Application No.: 09/628,213
Filed: July 28, 2000
For: LED PERSONAL WARNING LIGHT
Examiner: (Not yet assigned)
Group Art Unit: (Not yet assigned)

Commissioner for Patents
Washington, D.C. 20231

Docket No.: N47.2-9256

TRANSMITTAL LETTER

1. In regard to the above-identified application, we are submitting the attached:
3 Pg. Information Disclosure Statement; 5 Pg. Form PTO-1449; 108 References; VAS transmittal and return postcard.
2. With respect to fees:
☒ No additional fee is required.
☐ Attached is check(s) in the amount of \$.
☐ Charge additional fee to our Deposit Account No. 22-0350.
3. **CONDITIONAL PETITION FOR EXTENSION OF TIME**
This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.
4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

VIDAS, ARRETT & STEINKRAUS

Date:

9-22-00

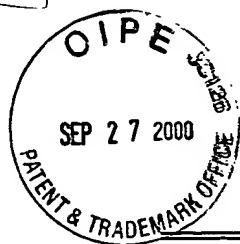
By:

Edwin E. Voigt II, Esq.
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Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Commissioner for Patents, Washington D.C. 20231, on September , 2000.

Julie A. Parle



PATENT

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Assistant Commissioner for Patent
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

Listed below or on an attached Form PTO-1449 is information know to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 or form 892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

____ Related co-pending application(s), that we are aware of, are listed as follows:

- ☐ related in subject matter:
- ☐ related by priority claim under 35 USC §120:

Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the 1449 Forms filed in these cases for the Examiner's convenience and easy reference.

☒ **I.** This statement qualifies under 37 C.F.R. §1.97(b) because to the knowledge of the undersigned attorney (check all that apply):

- ☒ (1) It is being filed within 3 months of the application filing date; or
☐ (2) It is being filed within 3 months of entry of a national stage; or
☐ (3) It is being filed before the mail date of the first Office Action on the merits.

☐ **II.** 37 C.F.R. §1.97 (c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office Action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

- ☐ (1) a certification as specified in §1.97(e) is provided below; or
☐ (2) a fee of \$240.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ **III.** 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- (1) a certification as specified in §1.97(e) is completed below;
(2) a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
(3) a fee of \$130.00 as set forth in §1.17(I)(1) is authorized below, enclosed, or included with payment of other papers filed together with this statement.

☐ **IV. Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$_____ and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 22-0350.

If paragraph II.1 or III.1 is checked, also check one of the paragraphs below

☐ I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of the filing of this information disclosure statement.

Information Disclosure Statement

Application No.:09/628,213

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_____ I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.
For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

Notwithstanding the above, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Moreover, if any fee is due for consideration of this Information Disclosure Statement and full payment has not been submitted herewith, the Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 22-0350.

The Commissioner is hereby authorized to credit any overpayment associated with this communication to Deposit Account No. 22-0350.

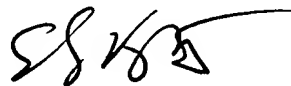
Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS, P.A.

Date:

9-22-00

By: _____



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